U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/PTO **Application Number** 10/780,004 **Filing Date** February 17, 2004 INFORMATION DISCLOSURE **First Named Inventor** Michael ROWAN STATEMENT BY APPLICANT 2169 Art Unit (use as many sheets as necessary) KIM, Paul **Examiner Name** 68865.001005 Sheet **Attorney Docket Number** 1 of 5

	U.S. PATENT DOCUMENTS								
*Examiner Initials	Cite No.	DOCUMENT NUMBER Number - Kind Code (if known)		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
	1.	US	2001/0001151	05-10-2001	Duckwall et al.				
	2.	US	2002/0083037	06-27-2002	Lewis et al.				
	3.	US	2002/0129214	09-12-2002	Sarkar				
	4.	US	2002/0144068	10-03-2002	Ohran				
	5.	US	2002/0161983	10-31-2002	Milos et al.				
	6.	us	2002/0178283	11-28-2002	Robinson				
	7.	US	2003/0014534	01-16-2003	Wantanbe				
	8.	US	2003/0097607	05-22-2003	Bessire				
	9.	ŲS	2003/0135783	07-17-2003	Martin et al.				
	10.	US	2003/0149736	08-07-2003	Berkowitz et al.				
	11.	US	2003/0204683	10-30-2003	Okumoto et al.				
	12.	US	2003/0220929	11-27-2003	Tolpin et al.				
	13.	US	2004/0078638	04-22-2004	Cochran				
1	14.	US	2005/0063374	03-24-2005	Rowan et al.				
	15.	US	2005/0066118	03-24-2005	Perry et al.				
	16.	US	2005/0066222	03-24-2005	Rowan et al.				
	17.	us	2005/0066225	03-24-2005	Rowan et al.				
	18.	US	2005/0076261	04-07-2005	Rowan				
	19.	US	2005/0076262	04-07-2005	Rowan				
	20.	US	2005/0076264	04-07-2005	Rowan et al.				
· · · · · ·	21.	US	2006/0047895	03-02-2006	Rowan et al.				

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

PTO/SB/08B (08/03) (modified)
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/PTO		Application Number	10/780,004
	DMATION DICCI OCUDE	Filing Date	February 17, 2004
	RMATION DISCLOSURE	First Named Inventor	Michael ROWAN
STATEMENT BY APPLICANT (use as many sheets as necessary)		Art Unit	2169
		Examiner Name	KIM, Paul
Sheet	2 of 5	Attorney Docket Number	68865.001005

			U	.S. PATENT DO	CUMENTS		
*Examiner Cite Initials No.		DOCUMENT NUMBER Number - Kind Code (if known)		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	22.	US 2	006/0047902	03-02-2006	Passerini		
	23.	US 2	006/0047903	03-02-2006	Passerini		
	24.	us <sub>2</sub>	006/0047989	03-02-2006	Delgado, et al.		
	25.	US 2	006/0047998	03-02-2006	Darcy		
-	26.	us 5	,041,966	08-20-1991	Nakai et al.		
	27.	US 5	,204,958	04-20-1993	Cheng et al.		
	28.	US 5	,327,468	07-05-1994	Edblad et al		
	29.	US 5	,398,331	03-14-1995	Huang et al.		
	30.	US 5	5,414,840	05-09-1995	Rengarajan et al.		
	31.	US 5	5,437,026	07-25-1995	Borman et al.		
	32.	US 5	5,440,735	08-08-1995	Goldring		
	33.	US 5	5,446,855	08-29-1995	Dang et al.		
	34.	US 5	5,668,991	09-16-1997	Dunn et al.		
	35.	US 5	5,668,991	09-16-1997	Dunn et al.		
	36.	US 5	5,720,028	02-17-1998	Matsumoto et al.		
	37.	US 5	5,794,252	08-11-1998	Bailey et al.		
	38.	us 5	5,806,065	09-08-1998	Lomet		
	39.	US 5	5,809,543	11-07-1996	Byers et al.		
	40.	US 5	5,845,292	12-01-1998	Bohannon et al.		
	41.	US 5	5,937,428	08-10-1999	Jantz		
	42.	US .	5,963,962	06-30-1998	Hitz et al.		

	SIGNATURE
PXAMMER	SKINALIBE

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute fo	or form 1449A/PTO	Application Number	10/780,004
INITO	DMATION DICCLOSURE	Filing Date	February 17, 2004
	RMATION DISCLOSURE	First Named Inventor	Michael ROWAN
	EMENT BY APPLICANT	Art Unit	2169
("	ise as many sheets as necessary)	Examiner Name	KIM, Paul
Sheet	3 of 5	Attorney Docket Number	68865.001005

*Examiner Initials	Cite No.	DOCUMENT NUMBER Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	43.	US 6,157,991	12-05-2000	Arnon		
	44.	US 6,161,192	12-12-2000	Lubbers et al.		
	45.	US 6,181,870	01-30-2001	Okada et al.		
	46.	US 6,189,016	02-13-2001	Cabrera et al.		
	47.	US 6,311,193	10-2001	Sekido		
	48.	US 6,317,815	11-13-2001	Mayer et al.		
	49.	US 6,341,341	01-22-2002	Grummon et al.		
	50.	US 6,389,547	05-2002	James et al.		
	51.	US 6,473,775	10-29-2002	Kusters et al.		
	52.	US 6,538,669	03-25-2003	Lagueux et al.		
	53.	US 6,587,962	07-01-2003	Hepner et al.		
,	54.	US 6,611,850	08-26-2003	Shen		
	55.	US 6,654,830	11-2003	Taylor et al.		
	56.	US 6,658,434	12-02-2003	Watanabe et al.		
	57.	US 6,816,951	11-09-2004	Kimura et al.		
	58.	US 6,871,271	03-22-2005	Ohran et al.		
	59.	US 6,880,058	04-12-2005	Mizuno et al.		
	60.	US 6,920,106	07-19-2005	Chou et al.		
	61.	US 6,944,188	09-13-2005	Sinha et al.		
	62.	US 6,950,438	09-27-2005	Owen et al.		
	63.	US 6,981,004	12-27-2005	Ganesh et al.		

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute fo	or form 1449A/PTO	Application Number	10/780,004
INITO	RMATION DISCLOSURE	Filing Date	February 17, 2004
		First Named Inventor	Michael ROWAN
	TEMENT BY APPLICANT use as many sheets as necessary)	Art Unit	2169
(6	ise as many sneets as necessary)	Examiner Name	KIM, Paul
Sheet 4 of 5		Attorney Docket Number	68865.001005

		L	I.S. PATENT DO	CUMENTS		
*Examiner Initials	Cite No.	DOCUMENT NUMBER Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages of Relevant Figures Appear	
	64.	US 6,981,114	12-27-2005	Wu et al.		
	65.	US 6,983,352	01-03-2006	Keohane et al.		
	66.	US 6,985,956	01-10-2006	Luke et al.		
	67.	US 7,000,145	02-14-2006	Werner et al.		
	68.	US 7,073,025	07-04-2006	Okamoto et al.		
	69.	US 7,143,228	11-28-2006	Iida et al.		
	70.	US 7,174,479	02-06-2007	Kutan et al.		
	71.	US 7,234,033	06-19-2007	Watanabe		
	72.	US 7,236,987	06-26-2007	Faulkner et al.		
	73.	US 7,239,581	07-03-2007	Delgado et al.		
	74.	US 7,272,666	09-18-2007	Rowan et al.		
	75.	US 7,296,008	11-13-2007	Passerini et al.		
	76.	US 7,409,587	08-05-2008	Perry		

	•		FC	REIGN PATE	NT DOCUMENTS			
		FOREIGN PATENT DOCUMENT		Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant	TRANSLATION	
*Examiner Initial	Cite No.	Country Code Number-Kind Code (if known)			Applicant of Cited  Document	Passages or Relevant Figures Appear	YES NO	
	77.	wo	99/50747	10-1999	Arnon			
	78.	wo	03/088045	10-23-2003	Vishlitzky, et al.			

## OTHER DOCUMENTS - NON-PATENT LITERATURE DOCUMENTS

DATE CONSIDERED

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

PTO/SB/08B (08/03) (modified)

U.S. Patent and	Trademark Of	ffice: U.S.	DEPARTMENT	OF:	COMMER	CE

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	10/780,004
		Filing Date	February 17, 2004
		First Named Inventor	Michael ROWAN
		Art Unit	2169
		Examiner Name	KIM, Paul
Sheet	5 of 5	Attorney Docket Number	68865.001005

*Examiner	Cite	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published		TRANSLATION	
Initials	No.			NO	
	79.	International Search Report (PCT/ISA/210) for PCT/US05/30168, mailed 12-08-2005 (matter 1023)			
	80.	International Search Report (PCT/ISA/210) for PCT/US05/30166, mailed 09-11-2006 (matter 1021)			
	81.	International Search Report (PCT/ISA/210) for PCT/US05/30165, mailed 10-20-2006 (matter 1019)			
	82.	International Search Report (PCT/ISA/210) for PCT/US05/30167, mailed 07-03-2007 (matter 1024)			
	83.	International Search Report (PCT/ISA/210) for PCT/US05/30169, mailed 11-05-2007 (matter 1022)			
	84.	Daniel Gilly and the Staff of the O'Reilly & Associates, Inc. UNIX in a Nutshell, August 1994, System V Edition, pages 2-10 and 2-47			
	85.	Mitchell Shnier, Computer Dictionary, (1998) Que Corporation, pp. 572-578			
	86.	William Stallings, Data and Computer Communications (2000), 6th Edition, pp. 329-338			
	87.	QING, Y., et al., "TRAP-Array: A Disk Array Architecture Providing Timely Recovery to any Point in Time", Proceedings of the 33 <sup>rd</sup> International Symposium on Computer Architecture (2006), pp. 289-301 (found in matter 1021)			
	88.	ZHOU, et al. "A Block-Level Security based on Hierarchical Logical Volume of Fibre Channel RAID", pp. 574-577, (2005)			

EXAMINER SIGNATURE	DATE CONSIDERED
	A MOTE COO. Draw line through

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.